



Attorney's Docket No. R0346/7016

Applicant or Patentee: Kemp, Michael J.
Serial or Patent No.: 09/242096
Filed or Issued: February 8, 1999
For: AUDIO EFFECTS SYNTHESIZER WITH OR WITHOUT ANALYZER

DECLARATION CLAIMING SMALL ENTITY STATUS
(INDEPENDENT INVENTOR)

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled AUDIO EFFECTS SYNTHESIZER WITH OR WITHOUT ANALYZER described in application serial No. 09/242096, filed February 8, 1999.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization
- ☐ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME
ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

09/242096-05250

By:


Michael J. Kemp

Date:

 20th April 1999

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Attorney Docket No. R0346/7016

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled AUDIO EFFECTS SYNTHESIZER WITH OR WITHOUT ANALYZER the specification of which was filed on February 8, 1999, as United States Application No. 09/242096 or PCT International Application No. PCT/GB97/02159, bearing attorney docket No. R0346/7016.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. 119 and 365(a), (b):

Priority

Claimed

9616755.6

United Kingdom

9 August 1996

[] []

(Number)

(Country-if PCT, so indicate)

(DD/MM/YY Filed)

YES NO

[] []

(Number)

(Country)

(DD/MM/YY Filed)

YES NO

[] []

PCT International Applications designating the United States:

(PCT Appl. No.) (U.S. Ser. No.) (PCT filing date) (status-
patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

David Wolf	<u>17,528</u>	
George L. Greenfield		<u>17,756</u>
Stanley Sacks	<u>19,900</u>	
Edward F. Perlman	<u>28,105</u>	
Lawrence M. Green		<u>29,384</u>
Steven J. Henry	<u>27,900</u>	
Therese A. Hendricks		<u>30,389</u>
Edward R. Gates	<u>31,616</u>	
William R. McClellan		<u>29,409</u>
Ronald J. Kransdorf		<u>20,004</u>
M. Lawrence Oliverio		<u>30,915</u>
Charles E. Pfund	<u>17,030</u>	
Jason M. Honeyman	<u>31,624</u>	
James H. Morris	<u>34,681</u>	
Peter C. Lando	<u>34,654</u>	
Gary S. Engelson	<u>35,128</u>	
Peter J. Gordon	<u>35,164</u>	
Randy J. Pritzker	<u>35,986</u>	
Richard F. Giunta	<u>36,149</u>	
Douglas R. Wolf	<u>36,971</u>	
Elizabeth R. Plumer		<u>36,637</u>
Timothy J. Oyer	<u>36,628</u>	
John N. Anastasi	<u>37,765</u>	
Helen C. Lockhart	<u>39,248</u>	
James M. Hanifin, Jr.		<u>39,213</u>
Christopher S. Schultz		<u>37,929</u>
Paul D. Sorkin	<u>39,039</u>	
John R. Van Amsterdam		<u>40,212</u>
Matthew B. Lowrie	<u>38,228</u>	
Michael G. Verga	<u>39,410</u>	
Robert E. Rigby, Jr.		<u>36,904</u>
Robert A. Skrivanek, Jr.		<u>41,316</u>
Robert M. Abrahamsen		<u>40,886</u>
Lesley A. Hamlin	<u>41,054</u>	
Ivan D. Zitkovsky	<u>37,482</u>	
Michele J. Young	<u>43,299</u>	
Edward J. Russavage		<u>43,069</u>
Alan B. Sherr	<u>42,147</u>	
John C. Gorecki	<u>38,471</u>	
William G. Gosz	<u>27,787</u>	
Thomas P. Grodt	<u>41,045</u>	
Neil P. Ferraro	<u>39,188</u>	
Julie A. Beberman	<u>40,906</u>	

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(Number)
YES NO

(Country)

(DD/MM/YY Filed)

I hereby claim the benefit under Title 35, United States Code, 119(e) of any United States provisional application(s) listed below:

(Application Number) (filing date)

(Application Number) (filing date)

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s), or 365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application No.) (filing date) (status-patented, pending, abandoned)

(Application No.) (filing date) (status-patented, pending, abandoned)

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Address all telephone calls to Ronald J. Kransdorf at telephone no. (617) 720-3500. Address all correspondence to

Ronald J. Kransdorf
c/o Wolf, Greenfield & Sacks, P.C.,
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210-2211

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Inventor's signature X [Signature] X Date X 20th April 1999 X
Full name of sole or first inventor Michael J. Kemp
Citizenship Portuguese British
Residence (City and State, or City and Country for non-U.S. residence)
Casa Lucia, Vale Formosilho, S. Marcos da Serra, 8375 S.B., De
Messines, Portugal PTX
Post Office Address same